

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : ISHII, et al.  
Int'l Appln. No. : PCT/JP01/01692  
Int'l Filing Date : March 5, 2001  
Title of Invention : INVESTMENT SYSTEM AND DATA  
TRANSMITTING/RECEIVING METHOD

#3

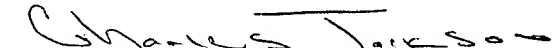
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**INFORMATION DISCLOSURE STATEMENT**

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Dear Sir:

Enclosed are copies of the publications which were cited in the International Search Report of International Application No. PCT/JP01/01692, and other documents. Also enclosed is a copy of Form PTO-1449 and the International Search Report.

REMARKS

Entry of this Information Disclosure Statement and an early examination on the merits are respectfully solicited.

Please charge any additional fees to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By:



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Enclosures

Based on Form PTO-1449 (3/90)  LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO.  450101-03067	SERIAL NO.
	APPLICANT  ISHII, et al.	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
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	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK	2001-117972	04/27/01	Japan				
	AL	2001-092896	04/06/01	Japan				
	AM	2000-268111	09/29/00	Japan				
	AN	2000-306008	11/02/00	Japan				
	AO	2000-115163	04/21/00	Japan				
	AP	10-255002	09/25/98	Japan				
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